

## Non-hydrostatic Modelling - Basic Equations -- Simplifications -- Vertical Coordinates -

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## 1. **BASIC EQUATIONS** - physical basis

**Budget Equations for Momentum**, Mass, Heat, Water Components

constitute a model describing the impact of gravity and Earth rotation over an enormously wide spectral range of internal processes caused by heat, mass, momentum, radiation transfer and phase changes of water essentially determined by turbulence.



## **1.1 Coordinate-free basic equations**

$$\begin{split} \rho \frac{d\vec{v}}{dt} &= -\nabla p - \rho \nabla \phi - \rho 2 \vec{\Omega} \times \vec{v} - \nabla \cdot \underline{T} \\ \frac{d\rho}{dt} &= -\rho \nabla \cdot \vec{v} \\ \rho \frac{de}{dt} &= -\rho \nabla \cdot \vec{v} - \nabla \cdot \left( \vec{J}_e + \vec{R} \right) + \varepsilon \iff \rho \frac{dh}{dt} = \frac{d\,p}{dt} - \nabla \cdot \left( \vec{J}_e + \vec{R} \right) + \varepsilon \\ \rho \frac{dq^k}{dt} &= -\nabla \cdot \vec{J}^k + I^k \qquad ; \quad \mathbf{k} = \mathbf{v}, \mathbf{1}, \mathbf{f} \end{split}$$

- barycentric velocity

 $\underline{T}$  - molecular stress tensor  $\mathcal{E}$  - molecular dissipation

- pressure
- $\rho = \sum \rho^k$  total density (k = d, v, l, f)  $= \underline{\sum_{k=k}^{k}} \cdot q^{k} = \frac{\rho^{k}}{\rho}$ 
  - mass fraction (specific content) of constituent k
  - specific internal energy ( enthalpy) with  $(h = e + p / \rho)$

Doms, G. et al.,2002 : LM documentation Part I: Dynamics and **Numerics** (www.cosmo-model.org)

- - gravitational potential
  - Earth rotation vector
    - radiation flux vector

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- $\vec{J}_{\rho}\left(\vec{J}^{k}\right)$ - diffusion flux of heat (of  $q^k$ )
  - source / sink of  $q^k$

# 1.2 Choice of basic equations dependent on a following numerical scheme





- molecular fluxes, dissipation and almost all molecular diffusion fluxes are neglected compared to turbulent flux terms
- latent heat of vaporation and sublimation assumed constant
- specific heats of moist air are replaced by specific heat of dry air



turbulence averaging symbols dropped

$$\begin{split} \rho \frac{d\vec{v}}{dt} &= -\nabla p + \rho \nabla \phi - \rho \, 2\vec{\Omega} \times \vec{v} - \nabla \cdot \overline{(\rho \vec{v}'' \vec{v}'')} \\ \rho c_{pd} \frac{dT}{dt} &= \frac{dp}{dt} + \underline{Q_h} \\ \frac{dp}{dt} &= -\frac{c_{pd}}{c_{vd}} \, p \, \nabla \cdot \vec{v} + \left(\frac{c_{pd}}{c_{vd}} - 1\right) \underline{Q_h} + \frac{c_{pd}}{c_{vd}} \underline{Q_m} \\ \rho \frac{dq^v}{dt} &= -\nabla \cdot \vec{F}^v - (I^l + I^f) \\ \rho \frac{dq^{l,f}}{dt} &= -\nabla \cdot \left(\vec{P}^{l,f} + \vec{F}^{l,f}\right) + I^{l,f} \\ \rho &= p \left[ R_d \left( 1 + \left(\frac{R_v}{R_d} - 1\right) q^v - q^l - q^f \right) T \right]^{-1} = p \left( R_d \, T_v \right) \end{split}$$

we follow this line (e.g. LM and MM5) Doms et al. 2002 Dudhia 1993, MWR 121

6

$$T \rightarrow \theta = \frac{T}{\pi}$$
$$p \rightarrow \pi = \left(\frac{p}{p_{00}}\right)^{\frac{R_d}{c_{pd}}}$$
Bryan and Fritsch  
2002, MWR 130

- overview article -

#### **Definition of heat source term**



## **Definition of moisture source term**

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$$Q_{M} := \frac{1}{\rho c_{pd}} Q_{m}$$

$$Q_{M} := \frac{1}{\rho c_{pd}} Q_{m}$$

$$Q_{M} = \frac{R_{d} T}{\rho c_{pd}} \left(1 - \frac{R_{v}}{R_{d}}\right) \nabla \cdot \vec{F}^{v} - \frac{R_{v} T}{c_{pd}} \left(S^{l} + S^{f}\right) + \frac{R_{d} T}{\rho c_{pd}} \left[\nabla \cdot \left(\vec{F}^{l} + \vec{F}^{f}\right) + \nabla \cdot \left(\vec{P}^{l} + \vec{P}^{f}\right)\right]$$

$$Turbulent flux for water constituents$$

$$\vec{F}^{x} = \overline{\rho \vec{v}'' q^{x}} \approx \overline{\rho w'' q^{x}} \vec{k} \approx -\rho K_{h} \frac{\partial q^{x}}{\partial z} \vec{k}$$

$$recipitation (gravitational diffusion) fluxes$$

$$\vec{P}^{l,f} = -q^{l,f} \left|\vec{v}_{T}^{l,f}\right| \vec{k}$$

## **1.4 Mass-consistent formulation of T - and p – prognostic equations**

$$\frac{dT}{dt} = \frac{1}{\rho c_{pd}} \frac{dp}{dt} + Q_{T}$$

$$\frac{dp}{dt} = -\frac{c_{pd}}{c_{vd}} p \nabla \cdot \vec{v} + \left(\frac{c_{pd}}{c_{vd}} - 1\right) \rho c_{pd} Q_{T} + \frac{c_{pd}}{c_{vd}} \rho c_{pd} Q_{M}$$
source terms in prognostic
p-equation often neglected
$$\frac{dT}{dt} = -\frac{p}{\rho c_{vd}} \nabla \cdot \vec{v} + Q_{T}$$

$$\frac{dT}{dt} = -\frac{p}{\rho c_{vd}} \nabla \cdot \vec{v} + Q_{T}$$

$$\frac{dp}{dt} = -\frac{c_{pd}}{c_{vd}} p \nabla \cdot \vec{v}$$

$$\frac{dp}{dt} = -\frac{c_{pd}}{c_{vd}} p \nabla \cdot \vec{v} + \left(\frac{c_{pd}}{c_{vd}} - 1\right) \rho c_{pd} Q_{T} + \frac{c_{pd}}{c_{vd}} \rho c_{pd} Q_{M}$$

Dudhia 1993, Doms et al. 2002

up to here coordinate-free – parameterisation problem dropped in the lecture

1.5 Spherical coordinates formulation of adiabatic dry model part

10

#### **Equation system to start from:**



## Deep-Atmospheric Non-hydrostatic Equations for a Rotating Spherical Atmosphere



#### Lagrangian formalism from Theoretical Mechanics applied

Newton's second law of motion	$\frac{d}{dt}\left(\frac{\partial L}{\partial \dot{q}_k}\right) - \frac{\partial L}{\partial q_k} = -\frac{1}{\rho} \frac{\partial p}{\partial q_k}$
continuity equation	$\frac{1}{\rho \mathbf{D}} \frac{d}{d t} (\rho \mathbf{D}) + \frac{\partial \dot{q}_k}{\partial q_k} = 0 \qquad k = 1, 2, 3$
first law of thermodynamics	$\frac{\partial \theta}{\partial t} + \dot{q}_k \frac{\partial \theta}{\partial q_k} = 0$
$m{q}_k$ , $\dot{m{q}}_k$ - generalised coordinates $L=T-\phi_{_N}$ - Lagrangian function	$\mathbf{D}^{2} = \begin{vmatrix} \partial^{2} T \\ \overline{\partial \dot{q}_{j} \partial \dot{q}_{k}} \end{vmatrix} \qquad functional \ determinant \\ squared \end{cases}$
$T = \frac{1}{2}\vec{v}^2 = \frac{1}{2}\left(\frac{d\vec{r}}{dt}\right)^2 - kinetic \ energy$	$(dec{r})^2$ - metric form
$\phi_{\!_N}=\phi_{\!_N}(q_k)$ - Newtonian gravitational potential	





we define :

 $u := r \cos \varphi \lambda$ ,  $v := r \dot{\varphi}$ ,  $w := \dot{r}$ 





momentum equations

$$\frac{du}{dt} - 2\Omega\sin\varphi v + 2\Omega\cos\varphi w + \frac{uv}{r}\tan\varphi + \frac{uw}{r} = -\frac{1}{\rho r\cos\varphi}\frac{\partial p}{\partial \lambda} - \frac{1}{r\cos\varphi}\frac{\partial \phi}{\partial \lambda}$$
$$\frac{dv}{dt} + 2\Omega\sin\varphi u + \frac{u^2}{r}\tan\varphi + \frac{vw}{r} = -\frac{1}{\rho r}\frac{\partial p}{\partial \varphi} - \frac{1}{r}\frac{\partial \phi}{\partial \varphi}$$
$$\frac{dw}{dt} - 2\Omega\cos\varphi u - \frac{u^2 + v^2}{r} = -\frac{1}{\rho}\frac{\partial p}{\partial r} - \frac{\partial \phi}{\partial r}$$
continuity equation

$$\frac{1}{\rho}\frac{d\rho}{dt} + \frac{1}{r\cos\varphi}\left(\frac{\partial u}{\partial\lambda} + \frac{\partial}{\partial\varphi}(v\cos\varphi)\right) + \frac{\partial w}{\partial r} + \frac{2}{r}w = 0$$

first law of thermodynamics

$$\frac{d\theta}{dt} = \frac{\partial\theta}{\partial t} + \frac{u}{r\cos\varphi} \frac{\partial\theta}{\partial\lambda} + \frac{v}{r} \frac{\partial\theta}{\partial\varphi} + w \frac{\partial\theta}{\partial r} = 0 \quad \cup \neq 0$$

relevant for 'Unified Model' of UK Met Office!

Literature: Hinkelmann,K.H.: Primitive equations. WMO Training Seminar. Moscow 1965, pp.306-375. White,A.A. et al.: Consistent approximate models of the global atmosphere... Q.J.R.Meteorol.Soc. 2005, 131, pp.2081-2107. **Consistent shallowness-approximation** via the Lagrange route

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spherical geometry :   

$$q - constant Earth radius$$
  
 $r = a + z$  ( $z - variable$ )
  
 $\frac{\partial \phi}{\partial \lambda} = \frac{\partial \phi}{\partial \varphi} = 0$ ,  $\frac{\partial \phi}{\partial r} = \frac{\partial \phi}{\partial z} \Rightarrow g \approx const.$ 
  
shallowness :   
 $a \gg z$ 
  
 $q_k = \lambda, \varphi, a + z$ ,  $\dot{q}_k = \dot{\lambda}, \dot{\varphi}, \dot{z}$ 
  
 $d\vec{r} = (a \cos \varphi d\lambda, a d\varphi, dz)$ 
  
 $T = \frac{1}{2} (a^2 \cos^2 \varphi (\dot{\lambda} + \Omega)^2 + a^2 \dot{\varphi}^2 + \dot{z}^2)$ ,  $\phi = \phi_N - \frac{1}{2} a^2 \cos^2 \varphi \Omega^2$ 
  
 $L = T - \phi$ ,  $D = a^2 \cos \varphi$ ,  $u := a \cos \varphi \dot{\lambda}, v := a \dot{\varphi}, w := \dot{z}$ 

## shallow spherical equation



momentum equations

$$\frac{du}{dt} - \left(2\Omega + \frac{u}{a\cos\varphi}\right)\sin\varphi v = -\frac{1}{\rho a\cos\varphi}\frac{\partial p}{\partial \lambda}$$
$$\frac{dv}{dt} + \left(2\Omega + \frac{u}{a\cos\varphi}\right)\cos\varphi u = -\frac{1}{\rho a}\frac{\partial p}{\partial\varphi}$$
$$\frac{dw}{dt} = -\frac{1}{\rho}\frac{\partial p}{\partial z} - g$$

continuity equation

$$\frac{1}{\rho}\frac{d\rho}{dt} + \frac{1}{a\cos\varphi}\left(\frac{\partial u}{\partial\lambda} + \frac{\partial(v\cos\varphi)}{\partial\varphi}\right) + \frac{\partial w}{\partial z} = 0$$

first law of thermodynamics

$$\frac{d\theta}{dt} = \frac{\partial\theta}{\partial t} + \frac{u}{a\cos\varphi} \frac{\partial\theta}{\partial\lambda} + \frac{v}{a} \frac{\partial\theta}{\partial\varphi} + w \frac{\partial\theta}{\partial z} = 0 \quad \cup \neq 0$$

**Comparison with deep equations indicates that the <u>shallowness</u> <u>approximation</u>, consisting of**  16

- <u>'traditional approximation'</u> (neglecting the  $2\Omega \cos \varphi$  -terms — Eckart 1960 )
- <u>'shallow approximation'</u> (  $a >> z, \frac{\partial \phi}{\partial z} = const.$ )
- <u>'small-curvature approximation</u>' (neglecting  $\frac{uw}{r}, \frac{vw}{r}, \frac{u^2 + v^2}{r}$ in momentum equations, and  $\frac{2w}{r}$  in the continuity equation),

is achieved dynamically consistent as <u>one</u> package with the Lagrangian approach .

## Some simplified overview



## 2. Linear Mode Analysis



Importance and meaning of a linear mode analysis is to recognise essential properties of the compressible non-hydrostatic model equations and understand simplifications due to filtering. Why are the full equations important and interesting enough to become increasingly standard model equations?

#### The modes involved are

- Rossby-, or advective mode
- Internal gravity (buoyancy)-inertial modes
- Acoustic modes
- Lamb mode

Literature: Miller, M. , 2002 : Atmospheric Waves. Meteorological Training Course Lecture Series, ECMWF. Changnon, J. M. , P. R. Bannon , 2005 : Wave Response during Hydrostatic and Geostrophic Adjustment. Part I : Transient Dynamics. JAS, 62 , May 2005 , 1311-1329. Thuburn, J. , N. Wood, A. Staniforth , Normal modes of deep atmospheres. 2002 a : Spherical geometry. Q.J.R.M.S. 128 , pp. 1771-1792. 2002 b : f - F - plane geometry. Q.J.M.S. 128 , pp. 1793-1806.

## 2.1 Linear model framework

**19** 

Linear Model framework

Compressible , dry , inviscid , unforced , ideal gas , Cartesian coordinates , f - plane , invariant in y-direction , Linearisation on f - plane about constant basic current and height-variable basic state temperature with constant stability.

Introduction of ' field variables ' in the sense of Eckart 1960 ( cf. Gassmann and Herzog 2006 )\*

$$(u \ w \ T)^{t} := \sqrt{\rho_{s}\overline{\rho}} (u' \ w' \ c_{p}T')^{t} , \quad p := \sqrt{\frac{\rho_{s}}{\overline{\rho}}} p'$$

\* Gassmann and Herzog : A consistent Time-Split Numerical Scheme applied to the Nonhydrostatic Compressible Equations.
- accepted to be published in: Monthly Wea.Rev. 2006



## 2.1 Linear model framework

20



$$\begin{pmatrix} \frac{\partial}{\partial t} + U \frac{\partial}{\partial x} \end{pmatrix} u - f v + \frac{\partial p}{\partial x} = 0$$

$$\begin{pmatrix} \frac{\partial}{\partial t} + U \frac{\partial}{\partial x} \end{pmatrix} v + f u = 0$$

$$\mu \left( \frac{\partial}{\partial t} + U \frac{\partial}{\partial x} \right) w + \left( \frac{\partial}{\partial z} + \Gamma \right) p - \frac{g}{c_p} \frac{\theta}{\overline{\theta}} = 0$$

$$\frac{1}{c_s^2} \left( \frac{\partial}{\partial t} + U \frac{\partial}{\partial x} \right) p + \left( \frac{\partial}{\partial z} - \Gamma \right) w + \frac{\partial u}{\partial x} = 0$$

$$\left( \frac{\partial}{\partial t} + U \frac{\partial}{\partial x} \right) \left( \frac{g}{c_p} \frac{\theta}{\overline{\theta}} \right) + N^2 w = 0$$

with the definitions

$$\Gamma = -\frac{1}{2H} + \frac{g}{c_s^2} \quad - \text{ Eckart - coefficient} \qquad \underline{\mu} \quad - \text{ tracer}$$

$$\frac{1}{H} = \frac{N^2}{g} + \frac{g}{c_s^2} \quad - \text{ reciprocal scale-height} \qquad N^2 = \frac{g}{\overline{\theta}} \frac{d\overline{\theta}}{dz} \quad - \text{ Brunt-Väisälä frequency squared}$$



 $\blacktriangleright$  Identical structural wave equation for both p and W

$$\left(\tilde{D}^{2}+f^{2}\right)\left(\frac{\partial^{2}}{\partial z^{2}}-\Gamma^{2}\right)\binom{p}{w}+\left(\mu\tilde{D}^{2}+N^{2}\right)\left[\frac{\partial^{2}}{\partial x^{2}}-\frac{1}{c_{s}^{2}}\left(\tilde{D}^{2}+f^{2}\right)\right]\binom{p}{w}=0$$

with the individual tendency operator

$$\widetilde{D} \equiv \left(\frac{\partial}{\partial t} + U\frac{\partial}{\partial x}\right)$$

variable separation ansatz:

$$w \sim w_n(x,t) \psi_n^{(w)}(z)$$
,  $p \sim p_n(x,t) \psi_n^{(p)}(z)$ 

$$\frac{1}{h_n^2} \left( \tilde{D}^2 + f^2 \right) \begin{pmatrix} w_n \\ p_n \end{pmatrix} + \left( \mu \tilde{D}^2 + N^2 \right) \left[ \frac{1}{c_s^2} \left( \tilde{D}^2 + f^2 \right) - \frac{\partial^2}{\partial x^2} \right] \begin{pmatrix} w_n \\ p_n \end{pmatrix} = 0$$

horizontal, time-dependent structural equation vertical structural equation

 $\frac{d^2 \psi_n^{(p,w)}}{dz^2} + v_n^2 \psi_n^{(p,w)} = 0$ 

## **2.2 Vertical structural solution**



 $n = 1, 2, 3, \dots$ 

with vertical boundary condition :

$$\frac{d^2 \psi_n^{(p)}}{d z^2} + v_n^2 \psi_n^{(p)} = 0$$
$$BC : \frac{d \psi_n^{(p)}}{d z} + \Gamma \psi_n^{(p)} = 0$$

$$v_n^2 = \frac{n^2 \pi^2}{z_T^2} > 0$$

 $w = 0 \quad \text{for} \quad z = 0 \quad \cap \quad z = z_T < \infty$  $\boxed{\frac{d^2 \psi_n^{(w)}}{d z^2} + v_n^2 \, \psi_n^{(w)} = 0}$ 

$$BC: \qquad \psi_n^{(w)} = 0$$

 $\psi_n^{(w)}(z) = -\Gamma \sin\left(\frac{n\pi}{z_{\tau}}z\right)$ 

- vertical wavenumber squared

$$\psi_n^{(p)}(z) = \left(\frac{n\pi}{z_T}\right) \cos\left(\frac{n\pi}{z_T}z\right) - \Gamma \sin\left(\frac{n\pi}{z_T}z\right)$$

 $h_n^{-2} = v_n^2 + \Gamma^2$  - separation constant in horizontal structural equation

## 2.3 Horizontal structural equation



wave solution

$$w_n(x,t) \sim \sin(kx - \omega_n t)$$
  $p_n(x,t) \sim \cos(kx - \omega_n t)$ 

frequency equation



Gossard and Hook, 1975: Waves in the Atmosphere. p. 112, eq. (23.7)

All possible wave frequencies of the given linear model are contained in the frequency equation above. They are going to be discussed in the following.

$$\mu = 1 \quad c_s^2 < \infty \quad begin{subarray}{l} \hline \mu = 1 \quad c_s^2 < \infty \quad begin{subarray}{l} \hline \mu = 1 \quad c_s^2 < \infty \quad begin{subarray}{l} \hline \mu = 1 \quad c_s^2 < \infty \quad begin{subarray}{l} \hline \mu = 1 \quad c_s^2 < \infty \quad begin{subarray}{l} \hline \mu = 1 \quad c_s^2 < \infty \quad begin{subarray}{l} \hline \mu = 1 \quad c_s^2 < \infty \quad begin{subarray}{l} \hline \mu = 1 \quad c_s^2 < \infty \quad begin{subarray}{l} \hline \mu = 1 \quad c_s^2 < \infty \quad begin{subarray}{l} \hline \mu = 1 \quad c_s^2 < \infty \quad begin{subarray}{l} \hline \mu = 1 \quad c_s^2 < \infty \quad begin{subarray}{l} \hline \mu = 1 \quad c_s^2 < \infty \quad begin{subarray}{l} \hline \mu = 1 \quad c_s^2 < \infty \quad begin{subarray}{l} \hline \mu = 1 \quad c_s^2 < \infty \quad begin{subarray}{l} \hline \mu = 1 \quad c_s^2 < \infty \quad begin{subarray}{l} \hline \mu = 1 \quad c_s^2 < \infty \quad begin{subarray}{l} \hline \mu = 1 \quad c_s^2 < \infty \quad begin{subarray}{l} \hline \mu = 1 \quad c_s^2 < \infty \quad begin{subarray}{l} \hline \mu = 1 \quad c_s^2 < \infty \quad begin{subarray}{l} \hline \mu = 1 \quad c_s^2 < \infty \quad begin{subarray}{l} \hline \mu = 1 \quad c_s^2 < \infty \quad begin{subarray}{l} \hline \mu = 1 \quad c_s^2 < \infty \quad begin{subarray}{l} \hline \mu = 1 \quad c_s^2 < \infty \quad begin{subarray}{l} \hline \mu = 1 \quad c_s^2 < \infty \quad begin{subarray}{l} \hline \mu = 1 \quad c_s^2 < \infty \quad begin{subarray}{l} \hline \mu = 1 \quad c_s^2 < \infty \quad begin{subarray}{l} \hline \mu = 1 \quad c_s^2 < \infty \quad begin{subarray}{l} \hline \mu = 1 \quad c_s^2 < \infty \quad begin{subarray}{l} \hline \mu = 1 \quad c_s^2 < \infty \quad begin{subarray}{l} \hline \mu = 1 \quad c_s^2 < \infty \quad begin{subarray}{l} \hline \mu = 1 \quad c_s^2 < \infty \quad begin{subarray}{l} \hline \mu = 1 \quad c_s^2 < \infty \quad begin{subarray}{l} \hline \mu = 1 \quad c_s^2 < \infty \quad begin{subarray}{l} \hline \mu = 1 \quad c_s^2 < \infty \quad begin{subarray}{l} \hline \mu = 1 \quad c_s^2 < \infty \quad begin{subarray}{l} \hline \mu = 1 \quad c_s^2 < \infty \quad begin{subarray}{l} \hline \mu = 1 \quad c_s^2 < \infty \quad begin{subarray}{l} \hline \mu = 1 \quad c_s^2 < \infty \quad begin{subarray}{l} \hline \mu = 1 \quad c_s^2 < \infty \quad begin{subarray}{l} \hline \mu = 1 \quad c_s^2 < \infty \quad begin{subarray}{l} \hline \mu = 1 \quad c_s^2 < \infty \quad begin{subarray}{l} \hline \mu = 1 \quad c_s^2 < \infty \quad begin{subarray}{l} \hline \mu = 1 \quad c_s^2 < \infty \quad begin{subarray}{l} \hline \mu = 1 \quad c_s^2 < \infty \quad begin{subarray}{l} \hline \mu = 1 \quad c_s^2 < \infty \quad begin{subarray}{l} \hline \mu = 1 \quad c_s^2 < \infty \quad begin{subarray}{l} \hline \mu = 1 \quad c_s^2 < \infty \quad begin{subarray}{l} \hline \mu = 1 \quad c_s^2 < \infty \quad begin{subarray}{l} \hline \mu = 1 \quad c_s^2 < \infty \quad begin{subarray}{l} \hline \mu = 1 \quad c_s^2 < \infty \quad begin{subarray}$$

$$\sigma_n^2 := (kU - \omega_n)^2$$
 - intrinsic frequency

$$(\sigma_n^2)_a \approx c_s^2 \left( k^2 + v_n^2 + \frac{1}{4H^2} \right) + f^2 \approx c_s^2 \left( k^2 + v_n^2 + \frac{1}{4H^2} \right)$$

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pairs of internal acoustic mode frequencies

$$\left(\sigma_{n}^{2}\right)_{g} \approx \frac{k^{2}N^{2} + \left(v_{n}^{2} + \frac{1}{4H^{2}}\right)f^{2}}{\left(k^{2} + v_{n}^{2} + \frac{1}{4H^{2}}\right) + \frac{f^{2}}{c_{s}^{2}}}$$

pairs of internal gravity mode frequencies





$$\underline{\mu}\frac{\sigma_n^4}{c_s^2} - \sigma_n^2 \left(\underline{\mu}k^2 + \left(v_n^2 + \frac{1}{4H^2}\right) + \underline{\mu}\frac{f^2}{c_s^2}\right) + k^2 N^2 + \left(v_n^2 + \frac{1}{4H^2}\right)f^2 = 0$$

$$c_s^2 \rightarrow \infty \quad \mu = 1$$

$$\left(\sigma_{n}^{2}\right)_{g} \approx \frac{k^{2} N^{2} + \left(\nu_{n}^{2} + \frac{1}{4H^{2}}\right) f^{2}}{k^{2} + \nu_{n}^{2} + \frac{1}{4H^{2}}}$$

*internal gravity modes remain untouched* 

acoustic mode filtered out due to  $c_s^2 \rightarrow \infty$ 

$$\mu = 0$$

$$c_s^2 < \infty$$



$$\underline{\mu}\frac{\sigma_n^4}{c_s^2} - \sigma_n^2 \left(\underline{\mu}k^2 + \left(v_n^2 + \frac{1}{4H^2}\right) + \underline{\mu}\frac{f^2}{c_s^2}\right) + k^2N^2 + \left(v_n^2 + \frac{1}{4H^2}\right)f^2 = 0$$

$$\mu = 0$$
  $c_s^2 < \infty$ 

$$(\sigma_n^2)_g \approx \frac{k^2 N^2}{v_n^2 + \frac{1}{4H^2}} + f^2$$

*internal gravity modes with limited validity* 

 $k^{2} << v_{n}^{2} + \frac{1}{4H^{2}}$  $L_{x}^{2} >> L_{z}^{2}$ 

- acoustic mode filtered out due to hydrostatic approximation
- hydrostatic filtering, however, is insufficient to represent gravity waves with high-resolving models
- Motivation for nonhydrostatic modelling!

## 2.4 Analytical solution

#### Nonstationary solutions for linear cases considered above

$$u(x, z, t) \sim \frac{k(kU - \omega_n)}{f^2 - (kU - \omega_n)^2} \cos(kx - \omega_n t) \psi_n^{(p)}(z)$$

$$v(x, z, t) \sim -\frac{kf}{f^2 - (kU - \omega_n)^2} \sin(kx - \omega_n t) \psi_n^{(p)}(z)$$

$$p(x, z, t) \sim \cos(kx - \omega_n t) \psi_n^{(p)}(z)$$

$$\frac{g}{c_p} \frac{\theta(x, z, t)}{\overline{\theta}(z)} \sim \frac{N^2}{kU - \omega_n} \cos(kx - \omega_n t) \psi_n^{(w)}(z)$$

$$w(x, z, t) \sim \sin(kx - \omega_n t) \psi_n^{(w)}(z)$$
(4)

 $(kU-\omega_n)\neq 0$ 

The vertical structural function  $\psi_n^{(p)}(z)\left(\frac{\psi_n^{(w)}(z)}{v, p}\right)$  is sufficient to represent the vertical structure of the variables  $u, v, p(w, \theta)$ .

This finding suggests for a vertical difference approximation the application of a Charney-Phillips (CP-) grid instead of a Lorenz (L-) grid!

## 2.4 Analytical solution

The case

$$e \quad n = 0 \longrightarrow \psi_0^{(p)} = \exp(-\Gamma z) \qquad \psi_0^{(w)} = 0$$
  
with  $v_0^2 = -\Gamma^2 \qquad h_n^{-2} = 0$ 

defines the Lamb mode which is a particular solution of the vertical structural equations with boundary conditions included. Its frequency is

$$\sigma_o^2 = (k U - \omega_0)^2 \approx k^2 c_s^2$$

*indicating a horizontally propagating acoustic wave evanescent in vertical direction. For a pure Lamb wave* 

$$w=0$$
 and  $\theta=0$  is valid.

## 2.5 Simplified scheme towards filtered equations

 $\widetilde{D}u - fv + \frac{\partial p}{\partial x} = 0$ 

hydrostatic equations

 $\widetilde{D}u - fv + \frac{\partial p}{\partial r} = 0$ 

Ê

 $\widetilde{D}$ 

 $\widetilde{D}$ 

 $\widetilde{D}$ 

full equations -

 $\tilde{D} p \Rightarrow 0$ 

anelastic equation

$$\begin{split} \widetilde{D}u - fv + \frac{\partial p}{\partial x} &= 0\\ \widetilde{D}v + fu &= 0\\ \widetilde{D}w + \left(\frac{\partial}{\partial z} + \Gamma\right)p - \frac{g}{c_p}\frac{\theta}{\overline{\theta}} &= 0\\ \left(\frac{\partial}{\partial z} - \Gamma\right)w + \frac{\partial u}{\partial x} &= 0\\ \widetilde{D}\left(\frac{g}{c_p}\frac{\theta}{\overline{\theta}}\right) + N^2w &= 0 \end{split}$$

 $\left(\frac{\partial u}{\partial x}\right) \Rightarrow 0$ 

 $\widetilde{D}\left\{\frac{\partial^2 p}{\partial r^2} + \frac{f^2}{N^2} \left(\frac{\partial^2}{\partial z^2} - \frac{1}{4H^2}\right)p\right\} = 0$ 

geostrophic potential vorticity equation - advective mode only with  $\frac{N^2}{f^2}\frac{\partial^2 w}{\partial x^2} + \frac{\partial^2 w}{\partial z^2} - \frac{1}{4H^2}w = 0$ 

#### Nonhydrostatic model equations

Hydrostatic (primitive) equations 30 Incompressible/anelastic \* Compressible/elastic reduction of equation set due to reduction to hydrostatic equation equations with full  $\left|\frac{dw}{dt} = 0 \rightarrow \left(\frac{\partial}{\partial z} + \Gamma\right)p - \frac{g}{c_n}\frac{\theta}{\overline{\theta}} = 0\right|$  $\frac{dp}{dt} = 0 \quad \longrightarrow \quad \left(\frac{\partial}{\partial z} - \Gamma\right) w + \frac{\partial u}{\partial x} = 0$ physical structure filter condition: filter condition:  $\frac{\partial^2 w}{\partial z^2} - \frac{1}{4H^2} w = G(x, z); \forall t$  $\left(\frac{\partial^2}{\partial x^2} + \frac{\partial^2}{\partial z^2}\right) p - \Gamma^2 p = F(x, z); \forall t$ full set of prognostic variables boundary value problem boundary value problem constitutes a constitutes a diagnostic diagnostic relation for p relation for w Internal acoustic and Internal gravity waves are com-Internal gravity waves are gravity waves are completely contained, acoustic waves contained, but insufficiently presented  $(L_x^2 >> L_z^2)$ pletely contained. are filtered out. acoustic waves excluded. Hydrostatic and geostrophic Hydrostatic and geostrophic adaptation Geostrophic adaptation adaptation Damping/filtering of acoustic waves Numerical treatment of elliptic pressure due to an appropriate numerical equation is difficult and needs to be done scheme (split-explicit, with care (terrain-following coordinate). Most operational global and limited semi-implicit-semi-Lagrange) An excellent research model is EULAG area models are hydrostatic. Models: MM5, LM, WRF-NCAR, (Grabowski, W.W., P.K. Smolarkiewicz, UK-Unified Model, etc. ... 2002,MWR 130, 939-952.) WK78, Cullen, Gassmann

\* refinements of anelastic approximation: P.B.Bannon, 1996, J.Atm.Sc. 53, No.23, 3618-3628.

## 3. Vertical coordinates



The choice of an appropriate vertical coordinate is always to aim at improving simulations over mountainous terrain



- Introduction of terrain-following vertical coordinate in meteorological modelling by Phillips 1957, Gal-Chen and Somerville 1975, applying a terrain-following normalisation with surface <u>pressure</u> (time-dependent coordinate -> deformable) or surface-height (time-independent coordinate -> nondeformable)
  - Phillips' sigma-coordinate -> larger-scale hydrostatic modelling
  - Gal-Chen's coordinate -> small-scale nonhydrostatic modelling
- There are problems in computing the pressure gradient term with pressure coordinate in hydrostatic models in case of steeper mountains which has led to the introduction of step-terrain orography (Mesinger 1984, Mesinger et al. 1985, 1988 -> NCEP Regional Eta Model), which seems however not appropriate in nonhydrostatic modelling (Gallus and Klemp 2000).
- Revival of Z-coordinate as shaved-cell approach in combination with finite-volume method a possible breakthrough ?

## 3.1 Representation of mountains in nonhydrostatic models



## 3.2 Introduction of a time-independent terrainfollowing coordinate

- Vertical coordinate  $\zeta$  may be any monotonic function of geometrical height.
- This  $\zeta$  -system is fixed in physical space, and is non-orthogonal.
- It is of Gal-Chen type.
- The lowest coordinate surface of constant  $\zeta$  coincides with the smooth model orography.
- The lower boundary condition seems easy but should be formulated carefully and consistent which is actually not simple to be done.

How to transform the basic equations into such a non-orthogonal  $\zeta$  - system?

Method :

Start from the basic equations in covariant vector form



## 3.3 Transformation method

#### Brief survey of the method



**Fig. 6-1.** Illustration of the two types of basis vectors in a nonorthogonal coordinate representation. The vector  $\eta^3$  is perpendicular to the plane  $\tilde{x}^3$  = constant, whereas  $\tau_3$  is tangent to the curve along which each coordinate except  $\tilde{x}^3$  is a constant (from Dutton, 1976).

$$\vec{v} = \widetilde{u}^{j} \tau_{j} = \widetilde{u}_{j} \eta^{j}$$

Momentum equations in contravariant form:

$$\begin{split} & \frac{\widetilde{u}^{i}}{\partial t} + \widetilde{u}^{j} \left( \frac{\partial \widetilde{u}^{i}}{\partial \widetilde{x}^{j}} + \widetilde{\Gamma}^{i}_{jl} \widetilde{u}^{l} \right) = \\ & - \widetilde{G}^{ij} \frac{1}{\rho} \frac{\partial p}{\partial \widetilde{x}^{j}} - \frac{\partial \widetilde{x}^{i}}{\partial \widetilde{x}^{3}} g - 2\widetilde{\varepsilon}^{ijl} \widetilde{\Omega}_{j} \widetilde{u}_{l} \end{split}$$

**Divergence of wind vector:** 

$$\nabla \cdot \vec{v} = \frac{\partial \widetilde{u}^{i}}{\partial \widetilde{x}^{i}} + \widetilde{\Gamma}_{i,s}^{i} \ \widetilde{u}^{s} = \frac{1}{\sqrt{\widetilde{G}}} \frac{\partial}{\partial \widetilde{x}^{i}} \left( \sqrt{\widetilde{G}} \ \widetilde{u}^{i} \right)$$

#### Literature:

Dutton, J.A., 1986 : The ceaseless wind. pp. 129-144, 248-251
Pielke, R.A., 1984 : Mesoscale Meteorological Modeling. pp.102-127
Gal-Chen, T., R.C.J. Somerville, 1975 : On the use of a coordinate transformation for the solution of the Navier-Stokes equations.
J.Comput.Phys. 17, 209-229
Zdunkowski, W., A.Bott : Dynamics of the Atmosphere. A course in Theoretical Meteorology. Cambridge Univ.Press 2003.



**3.3 Transformation method** 

#### further specifications

$$\widetilde{G}^{ij} = \frac{\partial \widetilde{x}^{i}}{\partial x^{l}} \frac{\partial \widetilde{x}^{j}}{\partial x^{l}} \qquad \widetilde{G}_{ij} = \frac{\partial x^{l}}{\partial \widetilde{x}^{i}} \frac{\partial x^{l}}{\partial \widetilde{x}^{j}} \qquad \sqrt{\widetilde{G}} = \left| \frac{\partial x^{i}}{\partial \widetilde{x}^{j}} \right| \qquad \widetilde{\Gamma}^{i}_{jl} = \widetilde{\Gamma}^{3}_{jl} = \frac{\partial^{2} z}{\partial \widetilde{x}^{j} \partial \widetilde{x}^{l}} \frac{\partial \zeta}{\partial z}$$

 $(x, y, z) \iff (x, y, \zeta)$ 

 $x^{1}, x^{2}, x^{3} = x, y, z(x, y, \zeta)$ 



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t 
$$\widetilde{x}^1$$
,  $\widetilde{x}^2$ ,  $\widetilde{x}^3 = x$ ,  $y$ ,  $\zeta(x, y, z)$  monoto

$$\tilde{u}^1, \ \tilde{u}^2, \ \tilde{u}^3 = u, v, \dot{\zeta}$$
 and  $w = \tilde{u}^i \frac{\partial x^3}{\partial \tilde{x}^i}$ 

## **3.3 Transformation method**



with 
$$p(x, y, z, t) = p_0(z) + p'(x, y, z, t)$$
,  $z = z(x, y, \zeta)$ 

hydrostatic vertical distribution of basic state pressure  $p_0(z)$  !

and the definition 
$$\sqrt{G} := -\sqrt{\tilde{G}} = -\left|\frac{\partial x^{i}}{\partial \tilde{x}^{j}}\right| = -\frac{\partial z}{\partial \zeta} > 0$$
 (left-handed system!)

We arrive finally at the nonhydrostatic equations in the  $\zeta$  - system assuming a dry-adiabatic, unforced, inviscid model atmosphere, here for the sake of simplicity, in a  $x, y, \zeta$  - system.

A peculiarity of most models is to use a prognostic variable in the vertical momentum equation which is not the contravariant vertical wind component  $\dot{\zeta}$  but the common covariant variable  $w = \dot{z}$  instead.

Having

$$\frac{d}{dt} = \frac{\partial}{\partial t} + u\frac{\partial}{\partial x} + v\frac{\partial}{\partial y} + w\frac{\partial}{\partial z} = \frac{\partial}{\partial t} + u\frac{\partial}{\partial x}\Big|_{\zeta} + v\frac{\partial}{\partial y}\Big|_{\zeta} + \dot{\zeta}\frac{\partial}{\partial\zeta}$$

$$\underline{\nabla \cdot \vec{v}} = \frac{1}{\sqrt{G}} \left( \frac{\partial \sqrt{G}u}{\partial x} + \frac{\partial \sqrt{G}v}{\partial y} + \frac{\partial \sqrt{G}\dot{\zeta}}{\partial \zeta} \right)$$

flux-form very important for model implementation!

## **3.4 Transformed equations**



$$\begin{split} &\frac{du}{dt} = -\frac{1}{\rho} \left( \frac{\partial p'}{\partial x} \Big|_{\zeta} + \frac{1}{\sqrt{G}} \frac{\partial z}{\partial x} \Big|_{\zeta} \frac{\partial p'}{\partial \zeta} \right) + fv \\ &\frac{dv}{dt} = -\frac{1}{\rho} \left( \frac{\partial p'}{\partial y} \Big|_{\zeta} + \frac{1}{\sqrt{G}} \frac{\partial z}{\partial y} \Big|_{\zeta} \frac{\partial p'}{\partial \zeta} \right) - fu \\ &\frac{dw}{dt} = \frac{1}{\rho} \frac{1}{\sqrt{G}} \frac{\partial p'}{\partial \zeta} + \frac{g\rho_0}{\rho} \left( \frac{T'}{T} - \frac{T_0}{T} \frac{p'}{p_0} \right) \\ &\frac{dp'}{dt} - g\rho_0 w = -\frac{c_{pd}}{c_{vd}} p \nabla \cdot \vec{v} \\ &\frac{dT}{dt} = -\frac{p}{c_{vd}} \nabla \cdot \vec{v} \quad , \qquad p = R_d \rho T \end{split}$$

Mind the pressure gradient terms !

Nonhydrostatic equations written with generalised terrain-following  $\zeta$  - coordinate

Further introduction of spherical coordinates - with shallownessapproximation - leads to the dynamical core equations of the Lokal-Modell (LM)

relation between contravariant and physical vertical motion

$$\dot{\zeta} = \frac{1}{\sqrt{G}} \left( \left. u \frac{\partial z}{\partial x} \right|_{\zeta} + v \frac{\partial z}{\partial y} \right|_{\zeta} - w \right)$$

## 3.5 Lower boundary condition

... has an important practical implication. It should be formulated as consistent as possible within a given numerical scheme, where the scheme is also necessary to be adapted to this problem. A successful approach has been developed by Almut Gassmann\*.

At terrain-following lower boundary (LB)  $\rightarrow$  free-slip condition :

$$\dot{\zeta}_{LB} = 0 , \forall t$$

$$\dot{\zeta}_{LB} = \frac{1}{\sqrt{G}} \left( u \frac{\partial z}{\partial x} + v \frac{\partial z}{\partial x} - w \right) \longrightarrow w_{LB} = \frac{1}{\sqrt{G}} \left( u \frac{\partial z}{\partial x} + v \frac{\partial z}{\partial x} \right)_{LB}$$

\*Gassmann, A., 2004 : Formulation of the LM's Dynamical Lower Boundary Condition. COSMO-Newsletter No.4, Febr. 2004, 155-158. (www.cosmo-model.org) Gassmann, A., H.-J. Herzog, 2006 : A consistent time-split numerical scheme applied to the nonhydrostatic compressible equations. MWR, accepted to be published.

## 3.5 Lower boundary condition



## **3.6 Upper boundary condition**



Non-penetrative boundary condition at  $\zeta=0$ 

$$- rigid \ lid \ \Rightarrow \quad \dot{\zeta} = w = 0 \quad (flat)$$

- no mass flux across the boundary  $\rightarrow$ 

$$\frac{\partial}{\partial \zeta} (u, v, T, ...) = 0$$

Danger of wave reflection without additional absorbing remedies ! →Sponge technique ( philosophy of Davies + Kallberg 1976, 1983 )

• Radiative upper boundary condition

$$\hat{p}' = \frac{\overline{\rho} N}{k} \hat{w}$$

It is possible to be implemented in a nonlinear nonhydrostatic model (LM) for real-data integrations, although resting on limited assumptions (linear, hydrostatic, incompressible  $\rightarrow$ Klemp, Durran 1983, and also Bougeault 1983 )

*demonstrated in Almut Gassmann's lecture* 

## **3.5 Lower boundary condition**

Neumann boundary condition at  $\zeta_{LB}$ 

$$\frac{\partial p'}{\partial \zeta} = \rho \sqrt{G} \left( \frac{\frac{\partial z}{\partial x}}{1 + \left(\frac{\partial z}{\partial x}\right)^2 + \left(\frac{\partial z}{\partial y}\right)^2} + \left(\frac{\partial z}{\partial y}\right)^2 \right)$$

$$\frac{\partial u}{\partial t} = \frac{\left(1 + \left(\frac{\partial z}{\partial y}\right)^2\right)F_u - \left(\frac{\partial z}{\partial x}\frac{\partial z}{\partial y}\right)F_v - \frac{\partial z}{\partial x}B}{1 + \left(\frac{\partial z}{\partial x}\right)^2 + \left(\frac{\partial z}{\partial y}\right)^2} \qquad \qquad \frac{\partial v}{\partial t} = \frac{\left(1 + \left(\frac{\partial z}{\partial x}\right)^2\right)F_v - \left(\frac{\partial z}{\partial x}\frac{\partial z}{\partial y}\right)F_u - \frac{\partial z}{\partial y}B}{1 + \left(\frac{\partial z}{\partial x}\right)^2 + \left(\frac{\partial z}{\partial y}\right)^2}$$

relevant for implementation

$$F_{u} = f_{u} + f v - \frac{1}{\rho} \frac{\partial p'}{\partial x}$$
$$F_{v} = f_{v} - f v - \frac{1}{\rho} \frac{\partial p'}{\partial y}$$

$$B = \frac{g\rho_0 T_0}{\rho T} \frac{p'}{p_0} - \frac{g\rho_0}{\rho} \frac{T'}{T} - f_w$$

٥) 42

**V.BJERKNES**, who was the first advocat of NWP, wrote 1904 : 'If it is true, as every scientist believes, that subsequent atmospheric states develop from the preceding ones to physical law, then it is apparent that the sufficient and necessary conditions for rational solution of forecasting problems are the following:

... A sufficiently accurate knowledge of the laws according to which one state of the atmosphere develops from another ... The problem is of huge dimensions. Its solution can only be the result of a long development ...?

1998, when A. ARAKAWA retired, he has reflected Bjerknes' famous note such : 'I will not be able to see the completion of the 'great challenge', but I am happy to see at least its beginning.'

Where we are now ?



## **Outline of main points :**

- Increasing tendency towards <u>unified global non-hydrostatic models</u> Tendency towards global <u>gridpoint models</u> Introduction of quasi-homogeneous, quasi-isotropic grids (<u>geodesic grid</u>: icosahedron → polyhedron)
- New formulation principles in view of a Vortex-Energy Theory (Nambu-bracket theory) from P. Nevir (1993, 1998) → opens the way for the construction of new spatial difference schemes generalising the classic ideas from Arakawa for the Jacobian operator up to the general adiabatic nonhydrostatic compressible equations connecting both global and local accuracy. Recent suggestions for such new difference constructions have been made by R.Salmon (2005).

Literature:

Heikes, R., D.A. Randall, 1995: MWR 123, 1881-1887. Majewski, D. et al., 2002: MWR 130, 319-338. Salmon, R., 2005: Nonlinearity 18, R1-R16. Nevir, P., R. Blender, 1993: J. Physics A 26, L1189-L1193. Nevir, P. 1998: Habilitationsschrift, FU Berlin, 317p.

## Nevir's Nambu formulation of hydro-thermodynamic basic equations

Entropy

Mass

Energy

Helicity

$$\begin{split} \widetilde{S} &= \iiint d\tau \left( \rho \, s \right) \longrightarrow s = c_p \ln \theta \\ \widetilde{M} &= \iiint d\tau \, \rho \\ \widetilde{H} &= \iiint d\tau \left( \rho \, \vec{v}^2 / 2 + \rho \, \widetilde{u} + \rho \, \phi \right) \\ \widetilde{h}_a &= \iiint d\tau \, \vec{v} \cdot \vec{\xi}_a \longrightarrow \vec{\xi} = \nabla_3 \times \vec{v} \end{split}$$

A Nambu-bracket approach to construct conserving algorithms should be possible



Cartoon of Norman Phillips, from: Dynamic Meteorology (ed. P.Morel) 1973